

Express Mail Label No. EU411410104US

Docket No.: LOT920000010US2

**NEW UTILITY PATENT APPLICATION TRANSMITTAL****(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission: 3

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

A SYSTEM AND METHOD FOR DEVELOPING AND ADMINISTERING WEB APPLICATIONS AND SERVICES FROM A WORKFLOW, ENTERPRISE, AND MAIL-ENABLED WEB APPLICATION SERVER AND PLATFORM

and invented by: CARL J. KRAENZEL, JOHN D. IMMERMANN, JEANNIE J. LU, WILLIAM A. MILLS

**ASSIGNEE NAME:** International Business Machines Corporation

**ASSIGNEE RESIDENCE:** Armonk, New York

If a **CONTINUATION APPLICATION**, check the appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

**Application Elements**

01. ☒ Filing fee as calculated and transmitted as described below
02. ☒ Specification having 149 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure
03. ☒ Drawing(s) when necessary as prescribed by 35 USC 113)
  - a. ☒ Formal
  - b. ☐ Informal

Number of sheets: 24

17548 U.S. PTO  
10/805715

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission:3

**Application Elements (Continued)**

04. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Not executed
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
05. ☒ Incorporation By Reference *(usable if Item 4b is checked)*
- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.
06. ☐ Computer Program in Microfiche *(Appendix)*
07. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

08. ☐ Assignment Papers *(cover sheet & document(s))*
09. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS citation
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Label No: EU411410104US)
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

**NEW UTILITY PATENT APPLICATION TRANSMITTAL****(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission: 3

**Accompanying Application Parts (Continued)**16. ☒ Additional Enclosures (identify below)☐**Fee Calculation and Transmittal****CLAIMS AS FILED**

For	# Filed	# Allowed	# Extra	Rate	Fee
<b>Total Claims</b>	<b>29</b>	<b>-20</b>	<b>9</b>	<b>x \$18.00</b>	<b>\$ 162.00</b>
<b>Indep. Claims</b>	<b>8</b>	<b>- 3</b>	<b>5</b>	<b>x \$86.00</b>	<b>\$ 430.00</b>
<b>Multiple Dependent Claims</b> _____					<b>\$</b>
				<b>BASIC FEE</b>	<b>\$ 770.00</b>
<b>Other FEE (Specify purpose)</b>					<b>\$</b>
				<b>TOTAL FILING FEE</b>	<b>\$ 1,362.00</b>

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 12-2158 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Charge the amount of \$1,362.00 as filing fee.
- ☐ Credit any overpayment.
- ☒ Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 19 Mar 2004


  
Signature
Shelley M Beckstrand, Attorney  
Reg. No. 24,886314 Main Street  
Owego, NY 13827-1616  
Phone: (607) 687-9913

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of

Applicant : Carl J. Kraenzel, et al.  
Serial No. : N/A  
Filed : Herewith  
Examiner : Umar Arshad  
Group : 2174  
Entitled : A SYSTEM AND METHOD FOR DEVELOPING AND  
ADMINISTERING WEB APPLICATIONS AND SERVICES FROM  
A WORKFLOW, ENTERPRISE, AND MAIL-ENABLED WEB  
APPLICATION SERVER AND PLATFORM  
Docket No. : LOT920000010US2

Director of the United States Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EU411410104US

Date of Deposit: 22 Mar 2004

I hereby certify that the following attached paper or fee

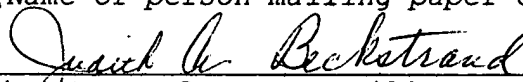
Postcard  
Express Mail Certificate  
New Utility Patent Application Transmittal  
Patent Application  
Declaration and Power of Attorney for Patent Application  
Drawings (24 sheets)  
Decision on Petition: Order of Names of Inventors  
Change of Attorney Address in Application  
Information Disclosure Statement  
Form 1449 (rev)

is being deposited with the United States Postal Service "Express Mail  
Post Office to Addressee" service under 37 CFR 1.10 on the date indicated  
above and is addressed to the

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

JUDITH A. BECKSTRAND

(Name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of

Applicant : Carl J. Kraenzel, et al.  
Serial No. : n/a  
Filed : herewith  
  
Examiner : Umar Arshad  
Group : 2174  
  
Entitled : A System and Method for Developing and Administering Web  
Applications and Services From a Workflow, Enterprise, and Mail-  
Enabled Web Application Server and Platform  
Docket No. : LOT920000010US2

**Change of Correspondence Address under 37 CFR 1.33(c)  
and Power of Attorney under 37 CFR 1.34(b)**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


I, Shelley M Beckstrand, Attorney of Record in the above-identified application, do hereby appoint attorneys/agents under customer # 27085 as Associate Attorneys/Agents, in addition to myself, for the purpose of prosecuting the above-identified application. Please also address future correspondence to customer # 27085, which is:

IBM Corporation  
Intellectual Property Law  
1 Rogers Street  
Cambridge, MA 02142

Please direct all telephone calls to:

Stephen T. Keohane, Esq.  
617-693-4152

Date: 19 Mar 2004

  
Shelley M Beckstrand, Reg. No. 24,886  
Attorney of Record  
314 Main Street  
Owego, NY 13827-1616